

## Chapter 2 Basic Transform

### I. Linear Transformations.

#### 1. 2D Scale.

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} &= \begin{bmatrix} S_x & 0 \\ 0 & S_y \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \\ &\hookrightarrow S_a. \end{aligned} \quad \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}.$$

#### 2. 2D Rotation. ( $\theta$ rotate around $\vec{0}$ ).

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} &= \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \\ &\hookrightarrow R_\theta. \end{aligned} \quad \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

#### 3. 2D Shear - x

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 & Sh_x \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \\ &\hookrightarrow H_{x_s}. \end{aligned} \quad \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & Sh_x & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

#### 2D Shear - y.

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 & 0 \\ Sh_y & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \\ \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 & 0 & 0 \\ Sh_y & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \end{aligned}$$

#### 4. 3D Scale.

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} &= \begin{bmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & S_z \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \\ \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} &= \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \end{aligned}$$

#### 5. 3D Shear. (Along x).

$$\begin{aligned} \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 & dy & dz \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \\ \text{Homo} \Rightarrow \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 & dy & dz & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \end{aligned}$$

### II. Affine Transformation.

1. Def (Homogeneous Coordinates) Add an additional (d+1)th dimension  $w$  to a point or vector. in  $n^d$ .

such that:

- if  $\vec{P} = (x_1, x_2, \dots, x_d)$  is a point, then  $\vec{P}_H = (x_1, x_2, \dots, x_d, 1)$ .

- if  $\vec{p} = (x_1, x_2, \dots, x_d)$  is a vector, then  $\vec{p}_H = (x_1, x_2, \dots, x_d, 0)$ .

$\vec{P}_H$  is called the homogeneous coordinate of  $\vec{P}$ .

△ Why do we need HC?

① Tell if  $\vec{P}$  is a vector or a point.

② Turn Affine Transformations into Linear.

2. 2D Translation by  $\vec{b} = (t_x, t_y)$ .

$$x' = x + t_x \quad y' = y + t_y \quad \xrightarrow{\text{Homo}} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

3. 3D Translation by  $\vec{b} = (t_x, t_y, t_z)$

$$\begin{cases} x' = x + t_x \\ y' = y + t_y \\ z' = z + t_z \end{cases} \quad \xrightarrow{\text{Homo}} \quad \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

### III. Rotation Analysis

1. 3D Rotation is **not commutative**

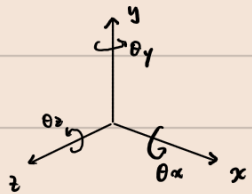
- 2D Rotation: Rotate  $20^\circ$  then  $40^\circ \Leftrightarrow$  Rotate  $40^\circ$  then  $20^\circ$ .

- 3D Rotation: Rotate  $20^\circ$  around  $x$  then  $90^\circ$  around  $y$

$\Leftrightarrow$  Rotate  $90^\circ$  around  $y$  then  $20^\circ$  around  $x$ .

d. Representation.

① Euler Angle.



Determine 3D rotation by rotations around 3 axes.

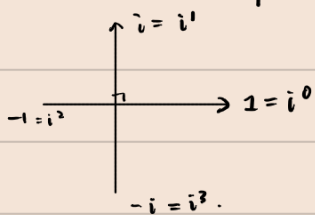
May have Gimbal Lock: Let  $\theta_y = \pi/2$  (i.e.  $\cos \theta_y = 0$ ,  $\sin \theta_y = 1$ ).

No matter how we change  $\theta_x, \theta_z$ ,

rotations happen in **only one plane**.

② Complex Number

Let  $(r, \theta)$  represent  $z = a + bi$ , where  $r = \sqrt{a^2 + b^2}$ ,  $\theta = \arctan\left(\frac{b}{a}\right)$ .



Now we will have  $z_1 = (r_1, \theta_1) \Rightarrow z_1 z_2 = (r_1 r_2, \theta_1 + \theta_2)$ .

$$z_2 = (r_2, \theta_2)$$

Ex Show the above  $z_1 z_2 = (r_1 r_2, \theta_1 + \theta_2)$

$$\text{Let } z_1 = a_1 + b_1 i \quad z_2 = a_2 + b_2 i$$

Now, for  $z_1 z_2$ ,

$$z_1 z_2 = (a_1 + b_1 i)(a_2 + b_2 i)$$

$$R = \sqrt{(a_1 a_2 - b_1 b_2)^2 + (a_1 b_2 + a_2 b_1)^2}$$

$$= a_1 a_2 + a_1 b_2 i + a_2 b_1 i + b_1 b_2 i^2$$

$$= \sqrt{a_1^2 a_2^2 + b_1^2 b_2^2 - 2a_1 a_2 b_1 b_2 + a_1^2 b_2^2 + a_2^2 b_1^2 + 2a_1 a_2 b_1 b_2}$$

$$= a_1 a_2 - b_1 b_2 + (a_1 b_2 + a_2 b_1) i$$

$$= \sqrt{(a_1 a_2)^2 + (b_1 b_2)^2 + (a_1 b_2)^2 + (a_2 b_1)^2}$$

$$r_1 r_2 = \sqrt{a_1^2 + b_1^2} \cdot \sqrt{a_2^2 + b_2^2} = \sqrt{(a_1^2 + b_1^2)(a_2^2 + b_2^2)} = \sqrt{a_1^2 a_2^2 + a_1^2 b_2^2 + b_1^2 a_2^2 + b_1^2 b_2^2} = R$$

$$\theta = \arctan\left(\frac{a_1 b_2 + a_2 b_1}{a_1 a_2 - b_1 b_2}\right)$$

$$\theta_1 = \arctan\left(\frac{b_1}{a_1}\right) \quad \theta_2 = \arctan\left(\frac{b_2}{a_2}\right)$$

$$\theta_1 + \theta_2 = \arctan\left(\frac{b_1}{a_1}\right) + \arctan\left(\frac{b_2}{a_2}\right)$$

$$= \arctan\left(\frac{b_1/a_1 + b_2/a_2}{1 - b_1 b_2 / a_1 a_2}\right)$$

$$= \arctan\left(\frac{b_1 a_2 + b_2 a_1}{a_1 a_2 - b_1 b_2}\right) = \theta$$

Now, Euler Function.  $e^{i\theta} = \cos\theta + i\sin\theta$ . Consider  $(r=a, \theta)$  and  $(r=b, \varphi)$

$$\text{For } z_1 = a \cos\theta + a i \sin\theta = a(\cos\theta + i\sin\theta) = a e^{i\theta}$$

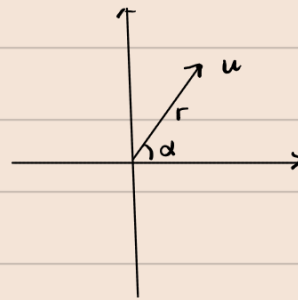
$$\Rightarrow z_1 z_2 = a b e^{i(\theta + \varphi)}$$

$$z_2 = b e^{i\varphi}$$

In this way, we can say that  $z_1$  indicates a vector whose magnitude is  $a$  with a rotation  $\theta$ .  $z_2$ , b.y. and  $z_1 z_2$ ,  $ab$ ,  $\theta + \varphi$

### Polar/Complex

For a vector  $u = r e^{i\alpha}$ .



• Rotation  $a = e^{i\theta}$      $b = e^{i\varphi}$

$\Downarrow$                        $\Downarrow$

$$A = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \quad B = \begin{bmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{bmatrix}$$

• Apply a  $\theta$  rotation  $\Rightarrow a u = r e^{i(\alpha + \theta)}$

$$\Downarrow$$

$$A u = A \begin{bmatrix} x \\ y \end{bmatrix}$$

• Apply a  $\theta + \varphi$  rotation  $\Rightarrow a b u = r e^{i(\alpha + \varphi + \theta)}$

### ③ Quaternion

Def  $\mathbb{H} := \text{span}\{i, j, k, 1\}$ , i.e.  $\forall q = a + bi + cj + dk, q \in \mathbb{H}$ .

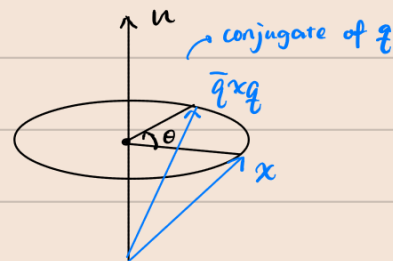
Prop  $i^2 = j^2 = k^2 = ijk = -1$

Encode  $(x, y, z) \mapsto 0 + xi + yj + zk$

Representation Given axis  $\vec{u}$ , angle  $\theta$ , quaternion  $q$  representing:

$$q = \cos(\theta/2) + \sin(\theta/2) \vec{u}$$

Good for interpolation.



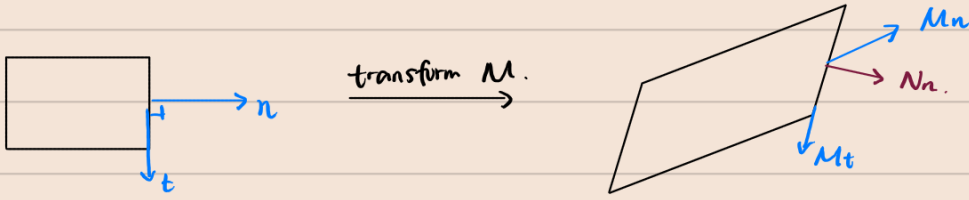
### IV. Composition / Decomposition of Transformation.

1. First apply scale  $S$ , then rotation  $R$ .  $\Rightarrow v' = R S v$ . ← Left Multiplication.

⚠ Note that in general,  $RS_V \neq SR_V$ .

## 2. Transforming Normal Vectors

In general, normal vectors are not preserved after transformation.  $M$ .



We need to set normal after transforming (denoted by  $N$ ).

We know that ①  $n \cdot t = 0$  i.e.  $n^T t = 0$

② Let  $n_N = \underbrace{Nn}$   $t_M = Mt$   $\rightarrow$  this is transform  $N$  multiplying vector  $n$ .

We know that  $n_N \cdot t_M = 0$ . i.e.  $n_N^T t_M = 0$ .

Algebraic calculation.

$$\begin{aligned} n^T t &= n^T \overset{\text{Identity}}{I} t \\ &= n^T M^{-1} M t \\ &= (n^T M^{-1}) t_M \\ &= 0 \end{aligned}$$

$$\Rightarrow n^T M^{-1} = n_N^T$$

$$\Rightarrow n_N = (n^T M^{-1})^T = (M^{-1})^T n$$

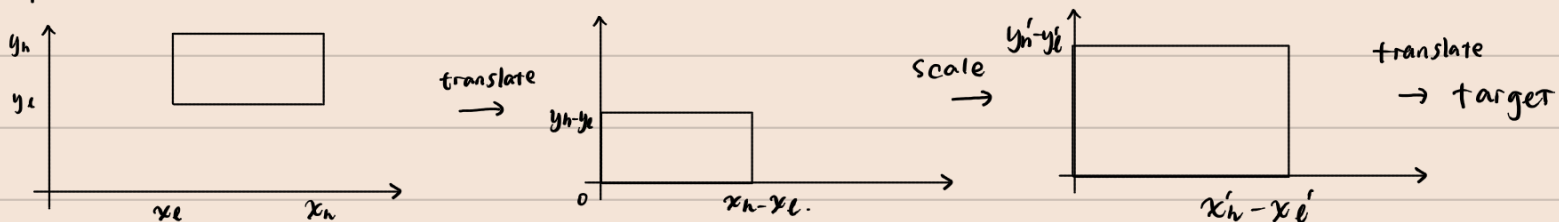
$$\Rightarrow N = (M^{-1})^T$$

The transpose of the inverse matrix preserves the normal.

## 3. Windowing Transformation.

Create a transform matrix that transforms  $(x, y) \in [x_e, x_h] \times [y_e, y_h]$  to  $[x'_e, x'_h] \times [y'_e, y'_h]$

Operation



Thus,  $\text{Window} = \text{Translate}(x'_e, y'_e) \cdot \text{Scale}\left(\frac{x'_h - x'_e}{x_h - x_e}, \frac{y'_h - y'_e}{y_h - y_e}\right) \cdot \text{translate}(-x_e, -y_e)$